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# **Semiconductor Devices**

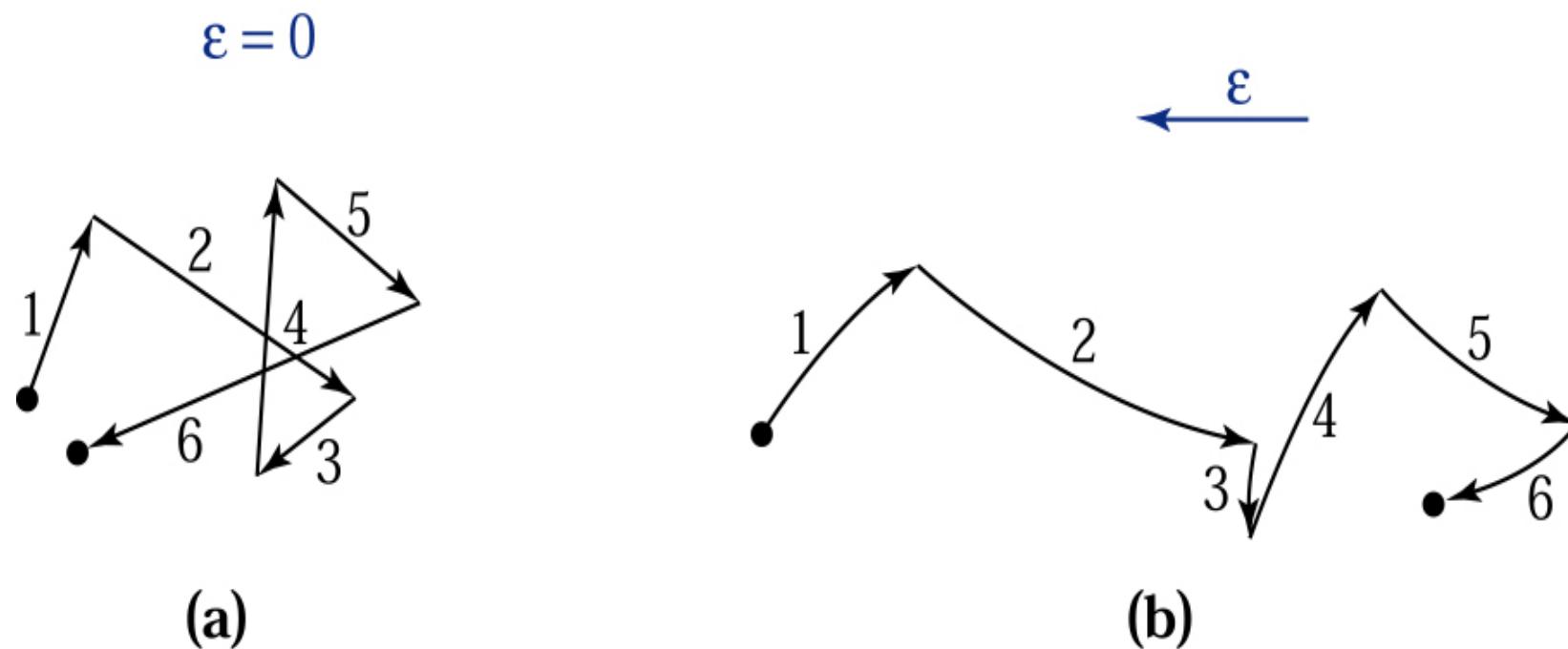
## **THIRD EDITION**

**S. M. Sze and M. K. Lee**

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## **Chapter 2**

### **Carrier Transport Phenomena**



**Figure 2.1.** Schematic path of an electron in a semiconductor.  
(a) Random thermal motion. (b) Combined motion due to random thermal motion and an applied electric field.

$$F \bullet S = mv - q\varepsilon\tau_c = m_n v_n$$

$$v_n = -\left[ \frac{q\tau_c}{m_n} \right] \varepsilon$$

mobility  $\mu_n \equiv \frac{q\tau_c}{m_n}$  (3)

Effective mass

Drift velocity

$$\rightarrow v_n = -\mu_n \varepsilon \quad (4)$$

Vth: thermal velocity

Tc: mean free time

Mean free path/Vth =  $\tau_c$

Unit: [g(cm/s<sup>2</sup>)xcmxs]/Vg  
= cm<sup>2</sup>/sV

即電流之發生

外加電場

$$v_p = \mu_p \varepsilon \quad (5)$$

Unit: (cm/s)/(V/cm)  
= cm<sup>2</sup>/sV

**Figure 2.2.**

Electron mobility in silicon versus temperature for various donor concentrations. Insert shows the theoretical temperature dependence of electron mobility.<sup>3</sup>

$\mu_n$  受 scattering mech.

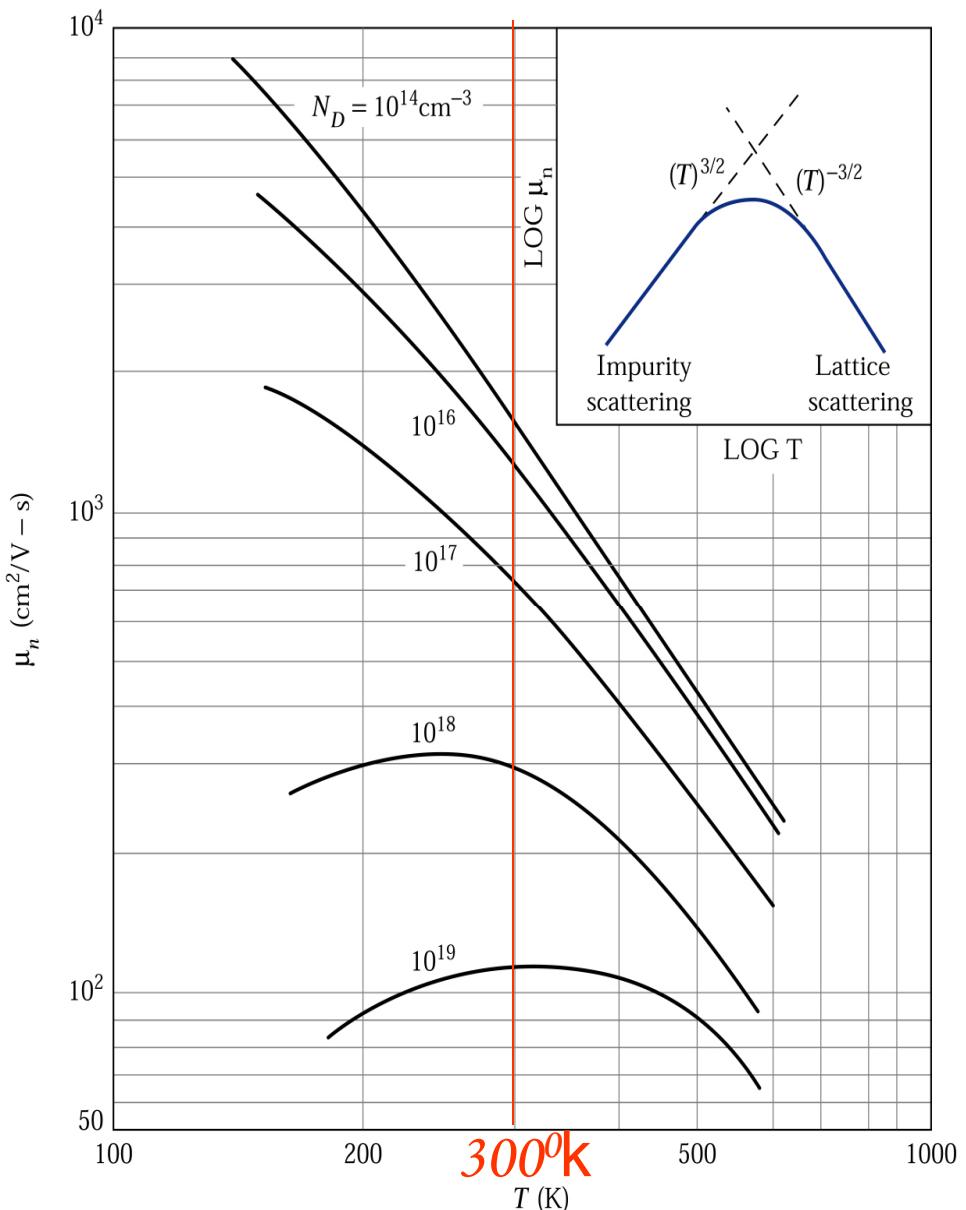
- { Lattice,  $T \uparrow$  得  $\mu \downarrow$   
(thermal vibration)
- Impurity,  $T \uparrow$  得  $\mu \uparrow$   
(Coulomb force)

$$\frac{dt}{\tau} = \frac{dt}{\tau_I} + \frac{dt}{\tau_L}$$

een any scattering even  
with the definitions of  $n$

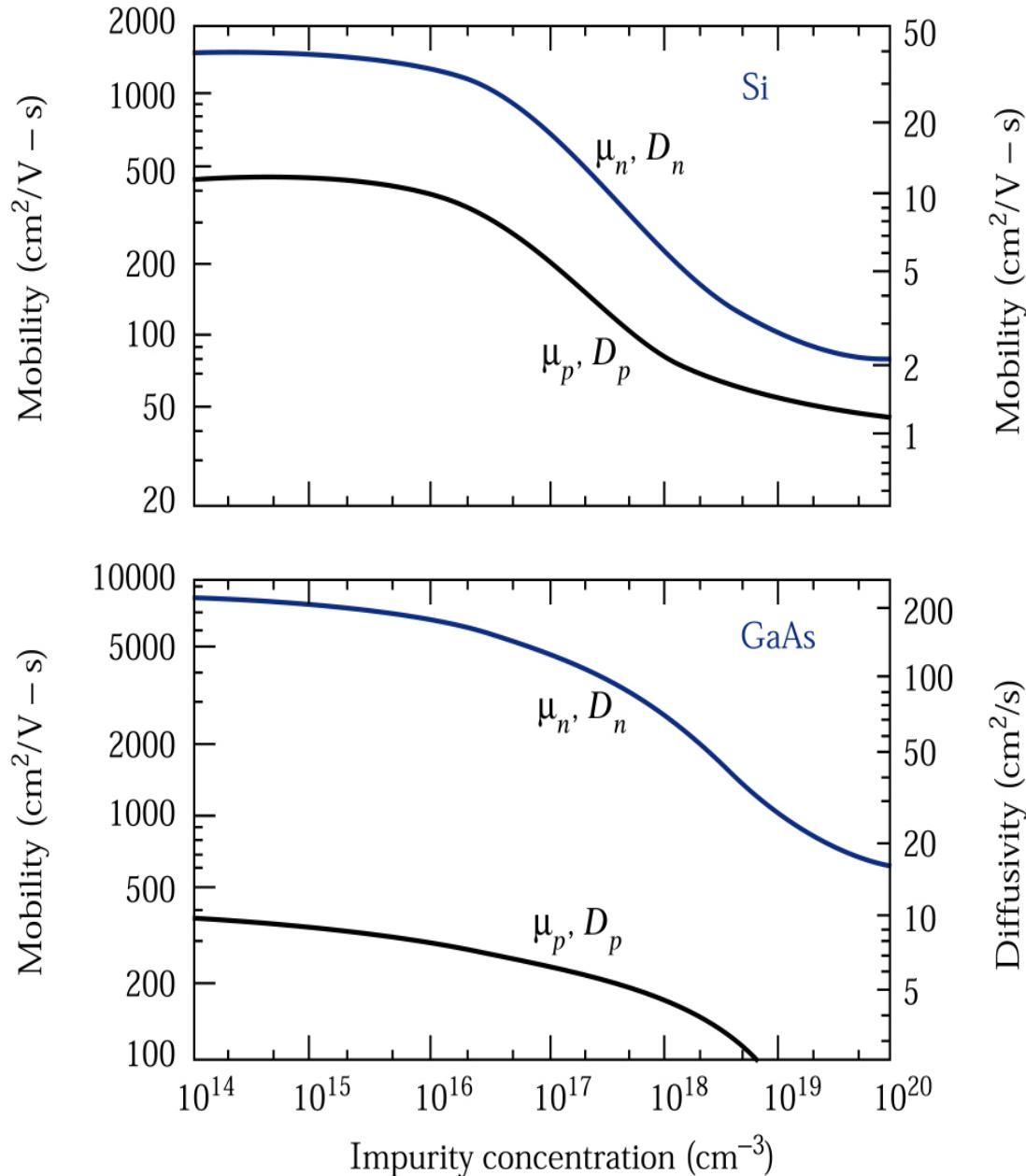
欲  $\mu_n$  則  $N_D \downarrow T \downarrow$

$$\frac{1}{\mu} = \frac{1}{\mu_I} + \frac{1}{\mu_L}$$



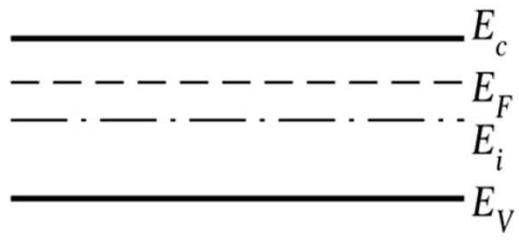
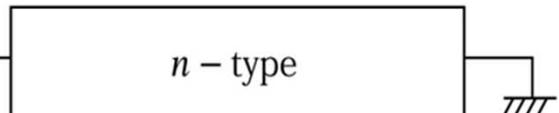
**Figure 2.3.**  
Mobilities and diffusivities in  
Si and GaAs at 300 K as a  
function of impurity  
concentration.<sup>3</sup>

1.  $\mu_n > \mu_p$
2.  $N \uparrow, \mu \downarrow$
3.  $\mu_n (\text{GaAs}) >> \mu_n (\text{Si})$



電位能(eV)

$$\psi = -\frac{E_i}{q}$$



電位(V)

$$\varepsilon = -\frac{d\psi}{dx}$$

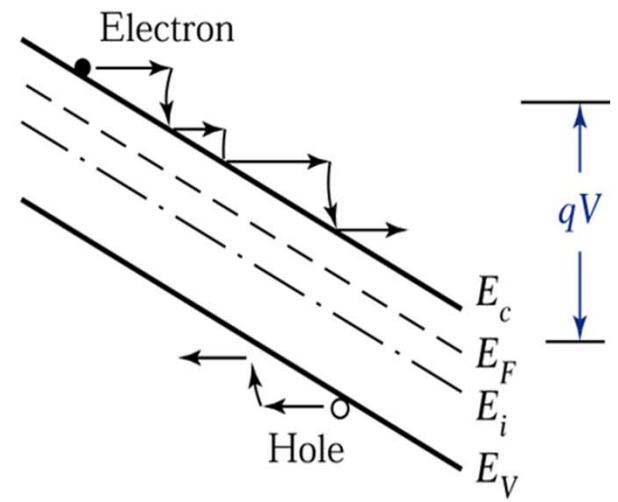
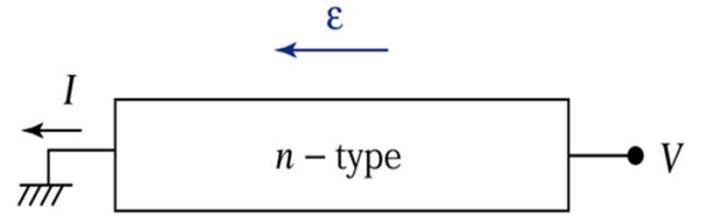
$$\varepsilon = \frac{1}{q} \frac{dE_i}{dx}$$

(a)

(b)

外加偏壓,  $\Psi$  電位與  $E_i$  呈現性關係

**Figure 2.4.** Conduction process in an *n*-type semiconductor  
(a) at thermal equilibrium and (b) under a biasing condition.



Ref. Fig 2.5

$$J_n = \frac{I_n}{A} = \sum_{i=1}^n (-q v_i) = -qn v_n = qn \mu_n \epsilon \quad (11)$$

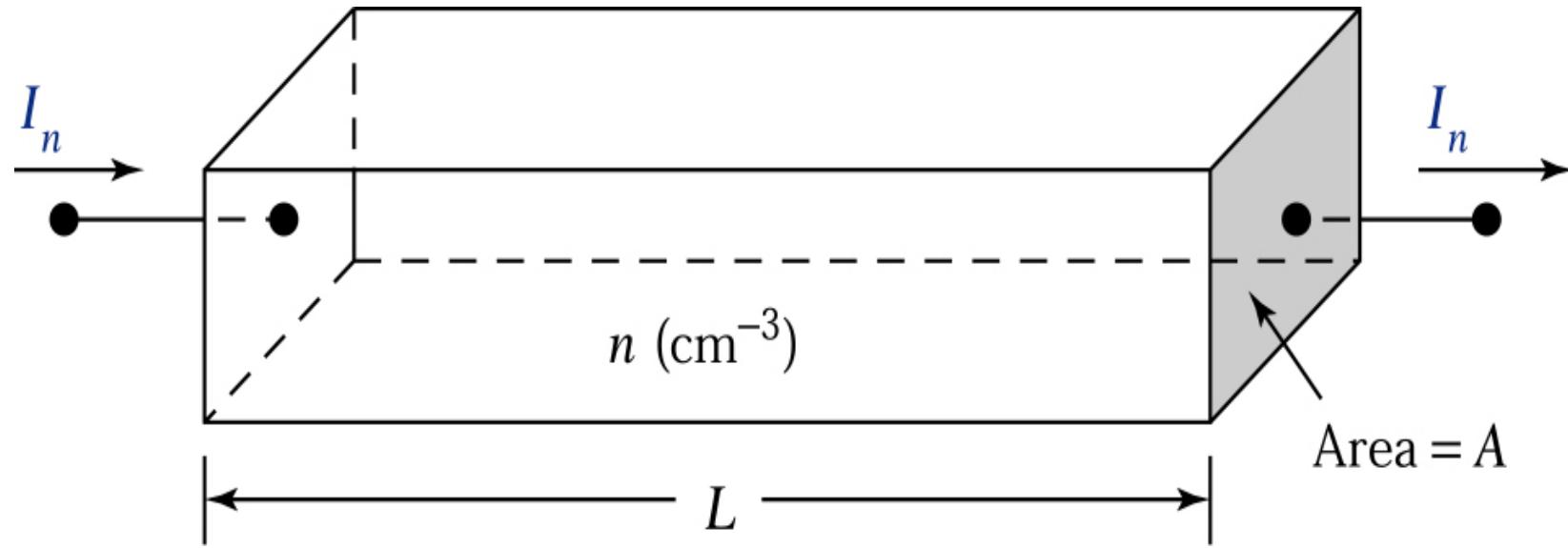
$$J = J_n + J_p = (qn \mu_n + qp \mu_p) \epsilon \quad (13)$$

$$\sigma = (qn \mu_n + qp \mu_p) \quad (14)$$

Unit:  $(V/\Omega)s(1/cm^3)(cm^2/sV)$   
 $= 1/cm\Omega$

$$\rho = \frac{1}{\sigma} = \frac{1}{q(n\mu_n + p\mu_p)} \quad (15)$$

**Unit: cm $\cdot$ Ω**



**Figure 2.5.** Current conduction in a uniformly doped semiconductor bar with length  $L$  and cross-sectional area  $A$ .

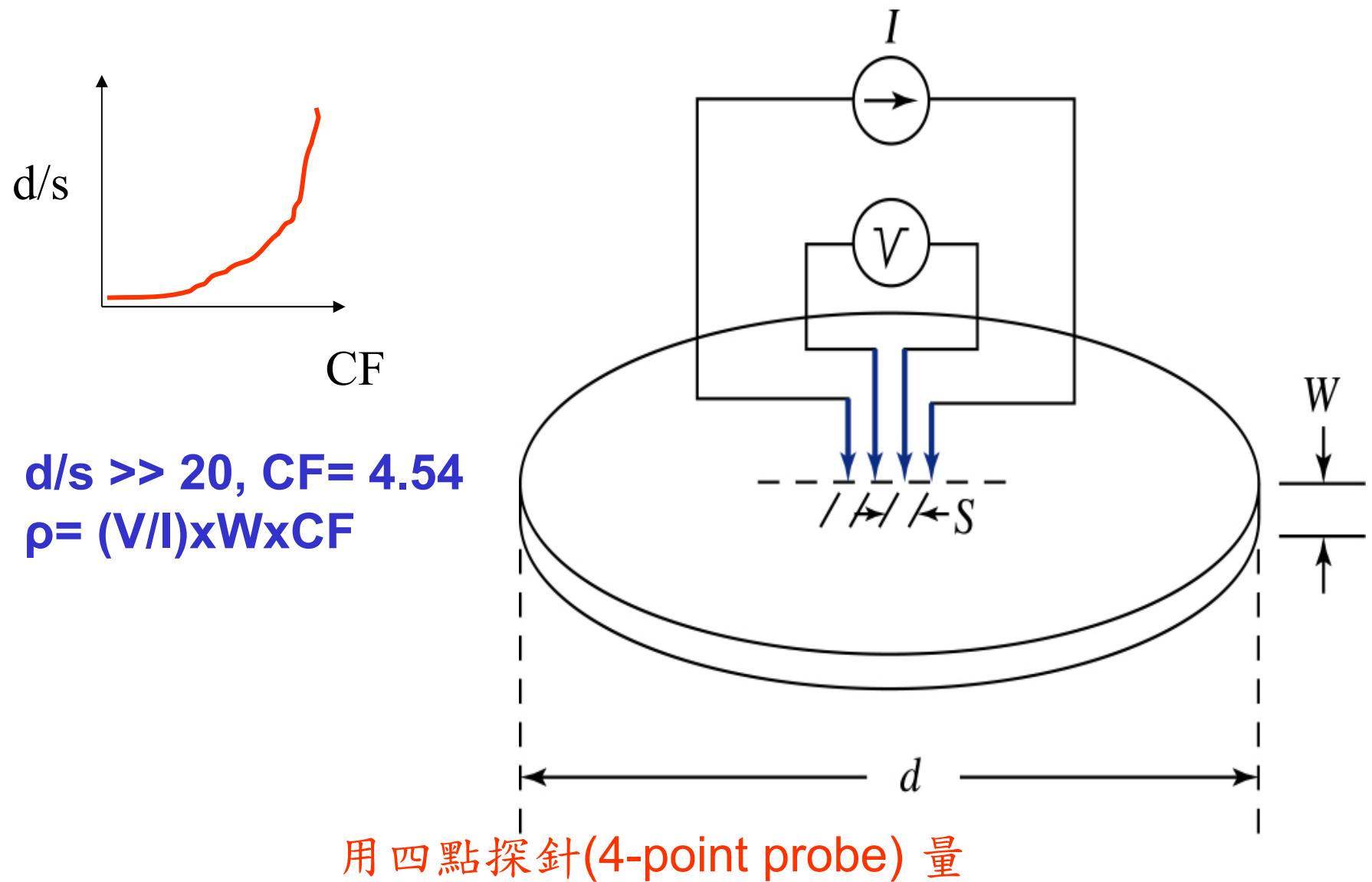
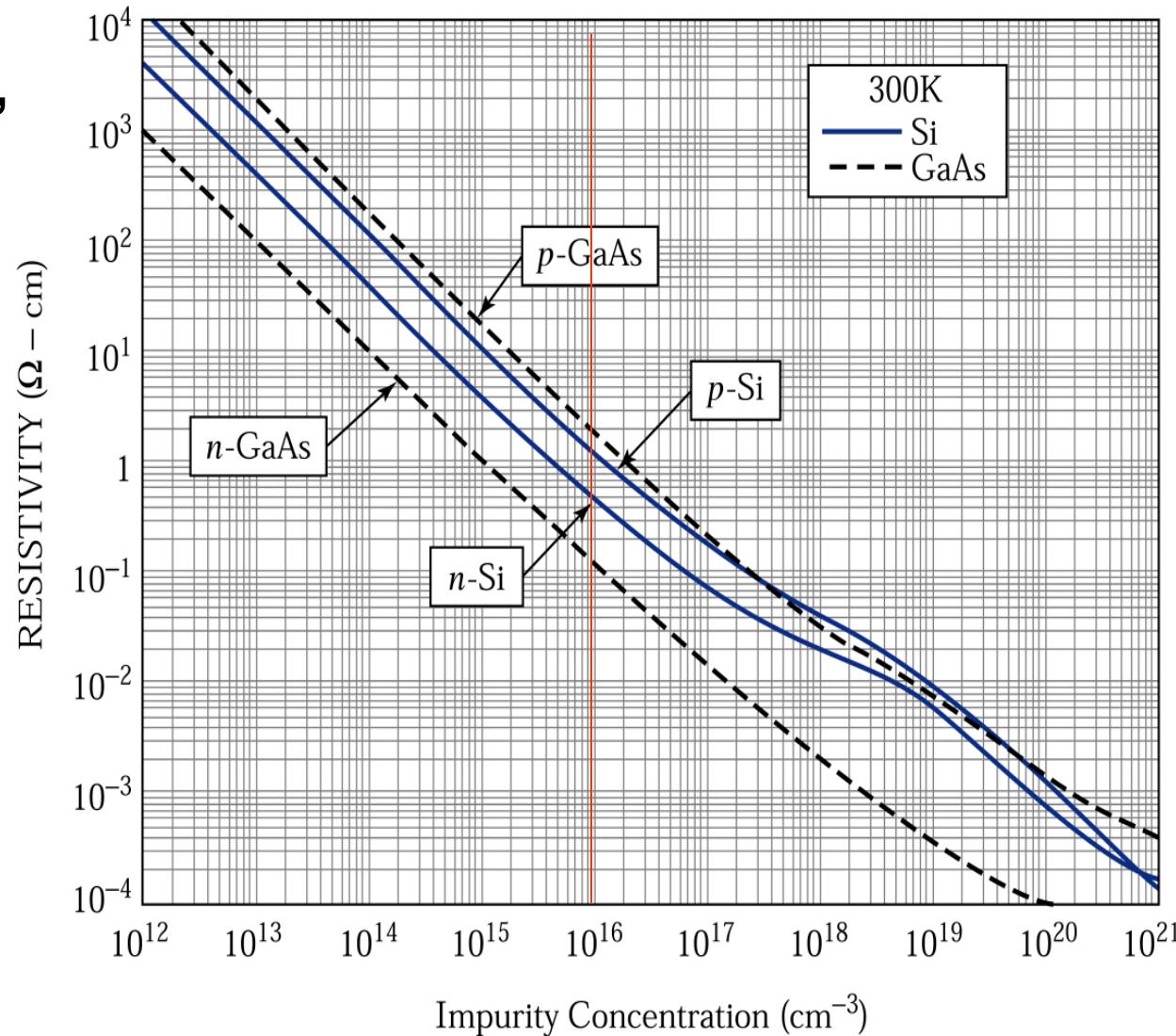


Figure 2.6. Measurement of resistivity using a four-point probe.<sup>3</sup>

**Problem,**  
 $N_D = 10^{16}$ ,  
 $\rho = 0.48$   
(Si 原來  
 $\rho > 10^3$ )



**Figure 2.7.** Resistivity versus impurity concentration<sup>3</sup> for Si and GaAs.

重要工程資料,一般wafer  $\rho \sim 1, N_A \sim 10^{16}$

Lorenz force=  $qVx \times B_z$

$$E_y = (\textcolor{blue}{V_H}/W) = R_H J_x B_z$$

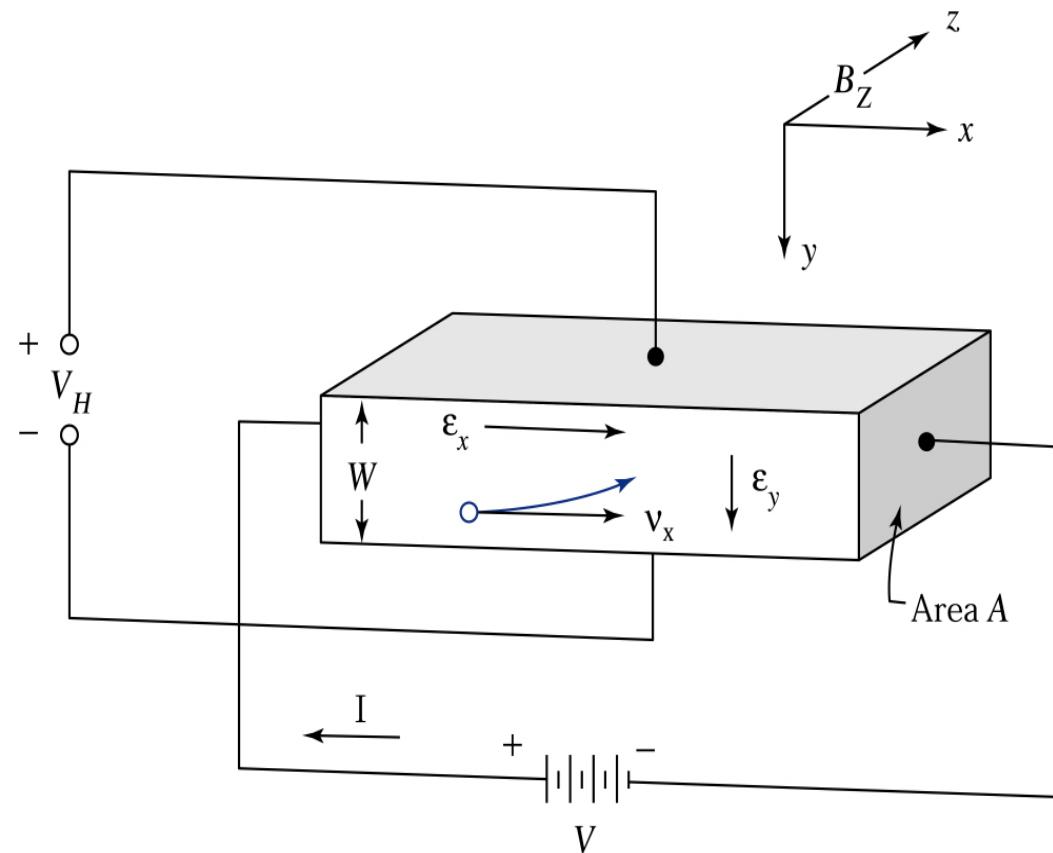
$N \gg p$

$$R_H = (-1/qn)$$

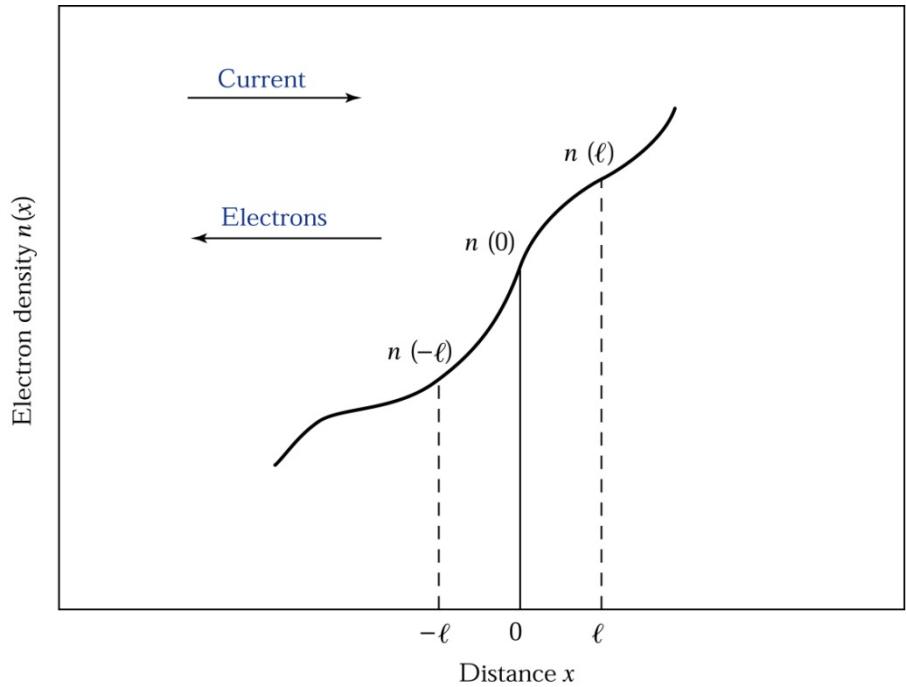
$P \gg n$

$$R_H = (1/qp)$$

$$\text{Thus, } p = IB_z W / qV_H A$$



**Figure 2.8.** Basic setup to measure carrier concentration using the Hall effect.



$$F1 = \frac{(1/2)n(-l)l}{\tau_c} = \frac{1}{2}n(-l) \bullet v_{th}$$

一半向右

$$F2 = \frac{1}{2}n(l) \bullet v_{th}$$

一半向左

$$F = F1 - F2 = \frac{1}{2}v_{th}[n(-l) - n(l)] =$$

$$\begin{aligned} & \frac{1}{2}v_{th}\{[n(0) - l \frac{dn}{dx}] - [n(0) + l \frac{dn}{dx}]\} = \\ & -v_{th}l \frac{dn}{dx} = -D_n \frac{dn}{dx} \end{aligned}$$

**Figure 2.9.** Electron concentration versus distance;  $l$  is the mean free path.

The directions of electron and current flows are indicated by arrows.

$$J_n = -qF = qD_n \frac{dn}{dx} \quad (27)$$

$$D_n = \left[ \frac{kT}{q} \right] \mu_n \quad (30)$$

Einstein relation

F: electron flow

Dn:diffusivity

$$=V_{th} \cdot L$$

$$L = V_{th} \cdot \tau C$$

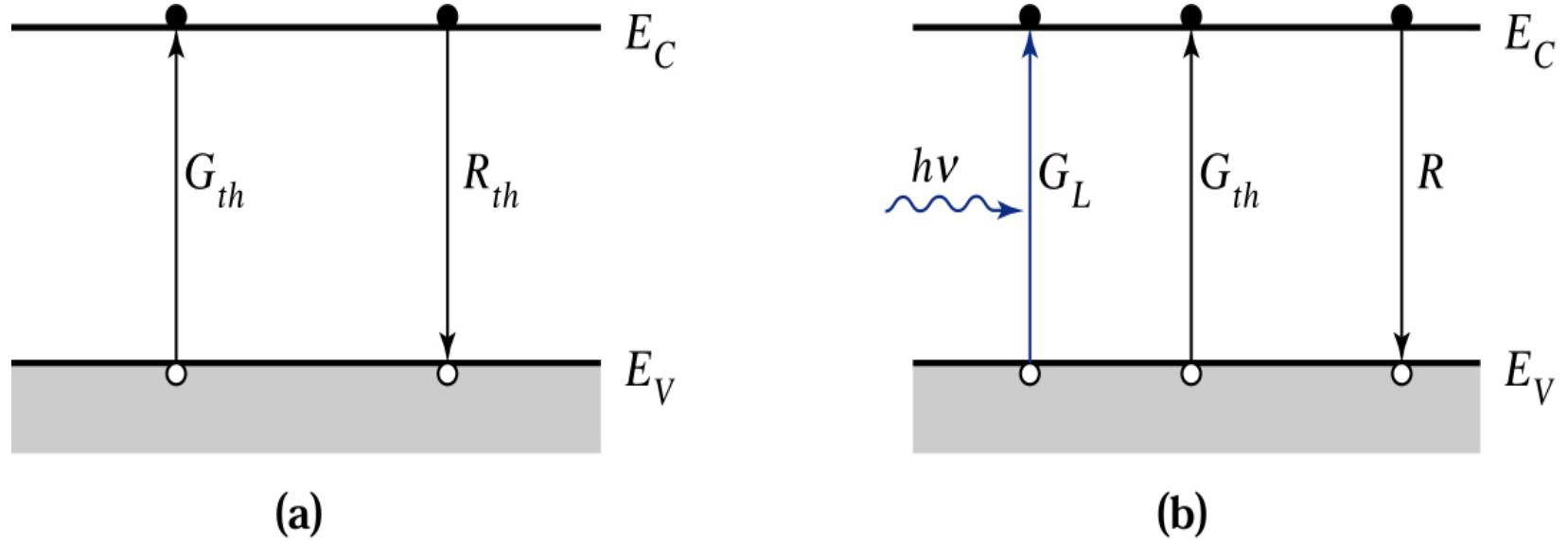
$$\mu = q\tau/m$$

$$J_n = q\mu_n n \varepsilon + qD_n \frac{dn}{dx} \quad (31)$$

$$J_p = q\mu_p p \varepsilon - qD_p \frac{dp}{dx} \quad (32)$$

Current density  
eq.

$$J_{cond.} = J_n + J_p \quad (33)$$



**Figure 2.10.** Direct generation and recombination of electron-hole pairs:  
(a) at thermal equilibrium and (b) under illumination.

## §Direct Recombination

$\Delta$  热平衡

$$G_L = R - G_{th} \equiv U \xrightarrow{(40)} \text{Net recombination rate}$$

Light                      thermal

- For low

Inj. ( $\Delta p$ ,  
 $p_{no} \ll n_{no}$ )       $U \cong \beta n_{n0} \Delta p = \frac{p_n - p_{n0}}{I} \quad (42)$        $\beta$ : 比例常數  
若 direct-recom.

n-type       $U = \frac{p_n - p_{n0}}{\tau_p} \quad (43)$       (僅與 excess minority carrier 有關)

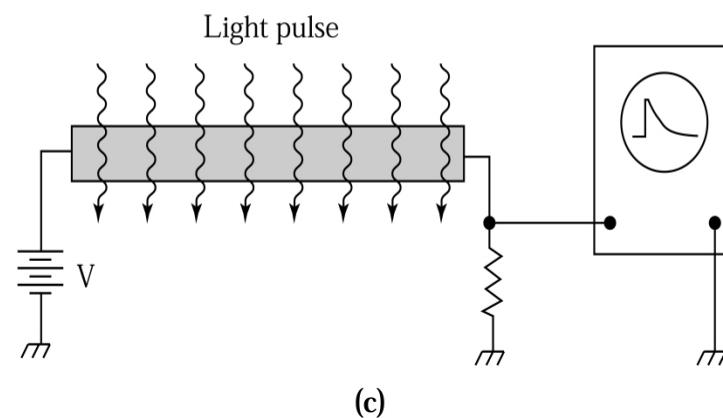
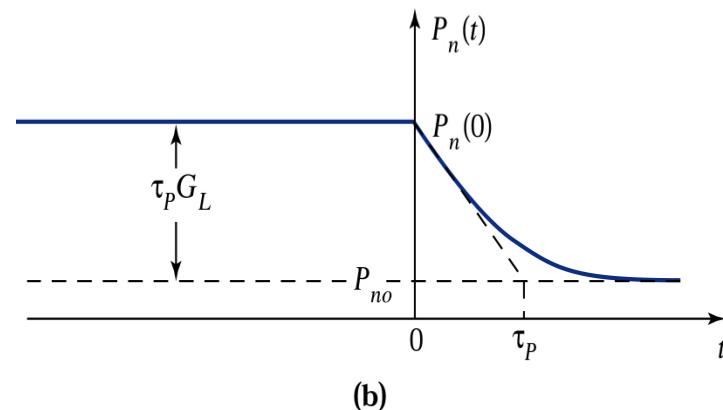
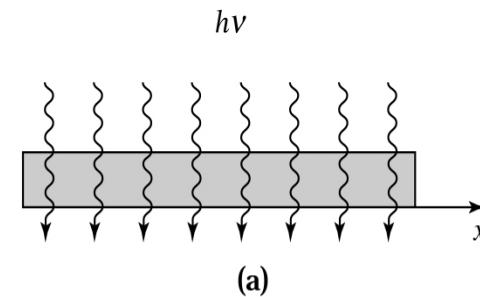
$$\tau_p = \frac{1}{\beta n_{n0}} \quad (44) \quad \text{Lifetime (of minority carrier)}$$

- Direct recombination : 即 band to band (for 三五族)

**Figure 2.11.**

Decay of photoexcited carriers.  
 a)  $n$ -type sample under constant illumination. (b) Decay of minority carriers (holes) with time.  
 (c) Schematic setup to **measure minority carrier lifetime**.

$$p_n(t) = p_{no} + \tau_p G_L \exp(-t / \tau_p)$$



## Example 7, p.59, quasi-Fermi levels induced by light

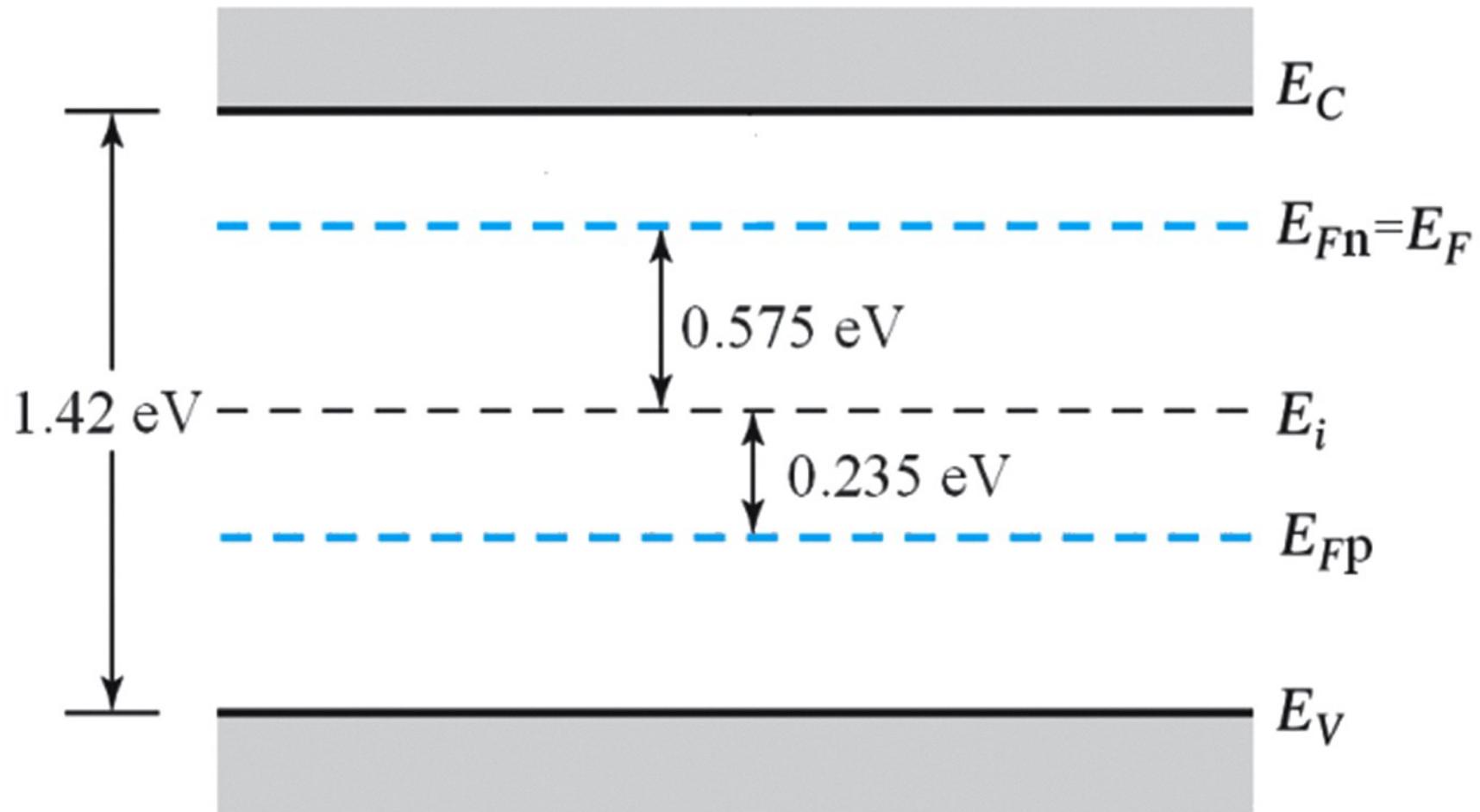
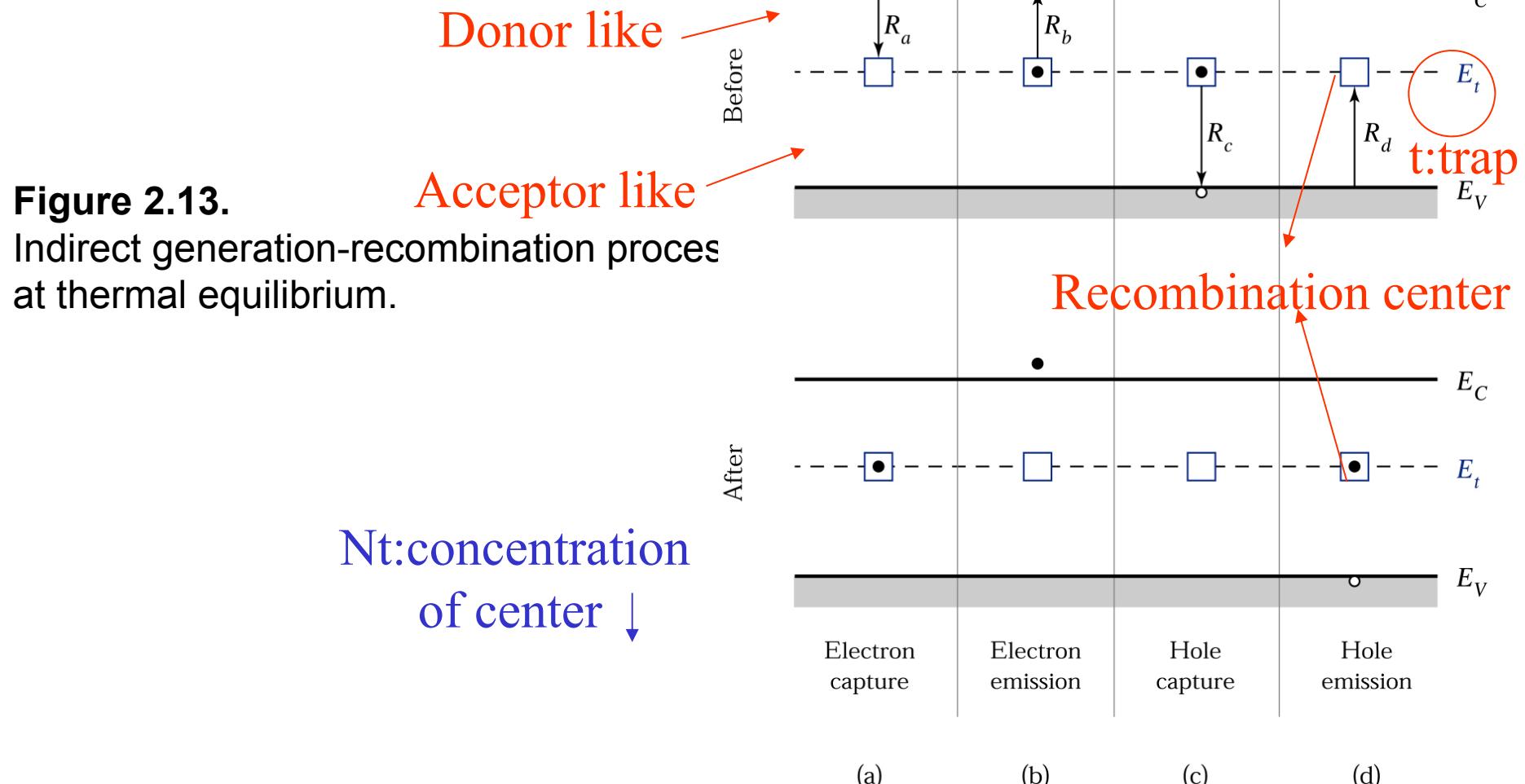


Figure 2.12  
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§Indirect Recom. 經由 recombination center 再複合.

- For indirect bandgap (Si)



$$U \approx V_{th} \sigma_0 N_t \frac{p_n - p_{no}}{1 + \left[ \frac{2ni}{n_{no} + p_{no}} \right] \cosh \left[ \frac{E_t - E_i}{kT} \right]} = \frac{p_n - p_{no}}{\tau_r} \quad (50)$$

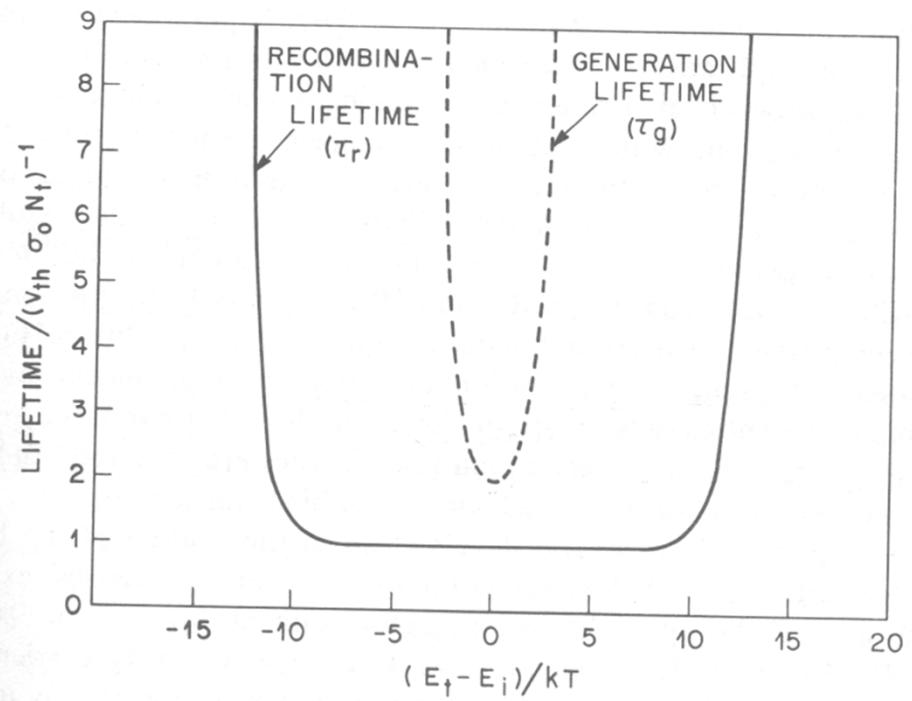


Fig. 15 Recombination lifetime and generation lifetime versus energy level of recombination center.

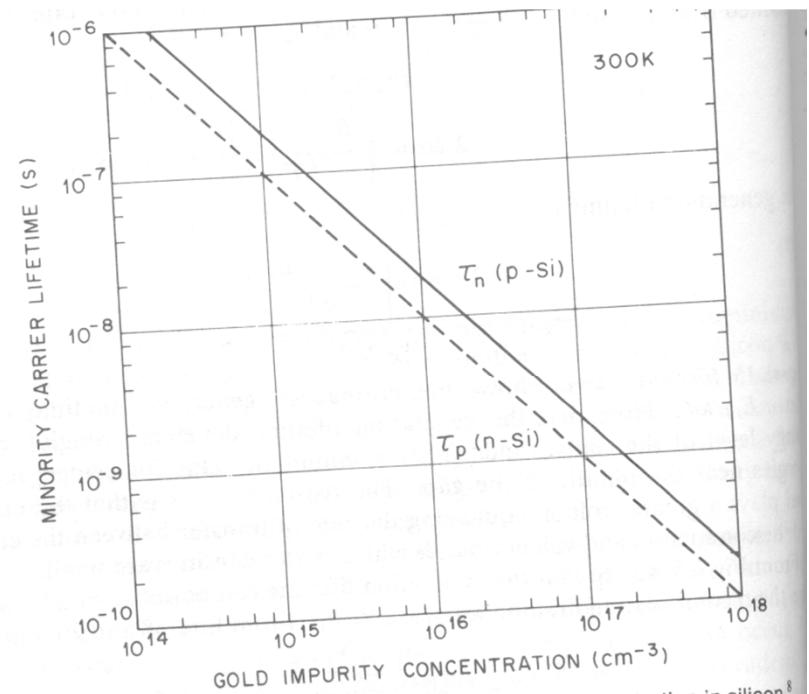
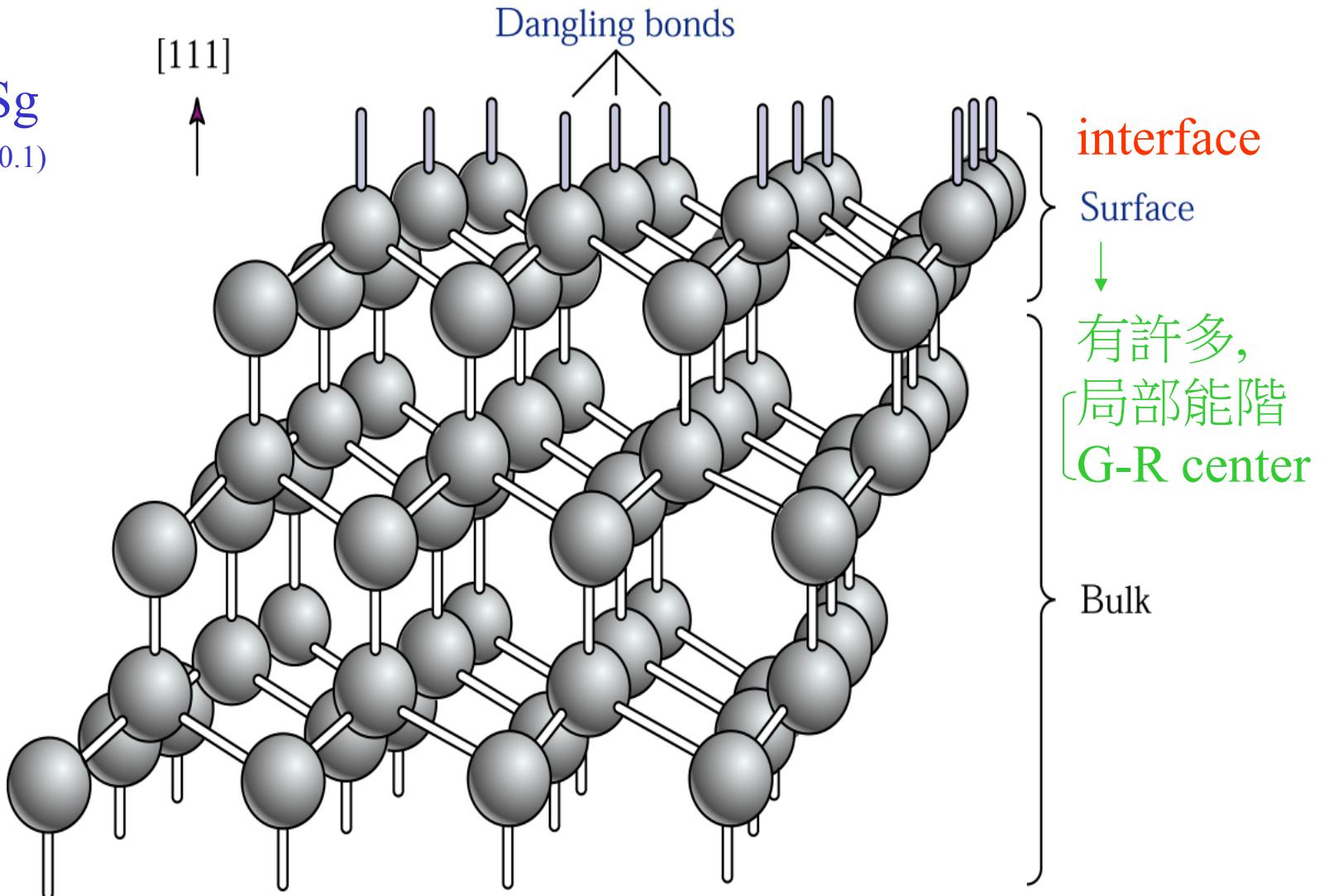
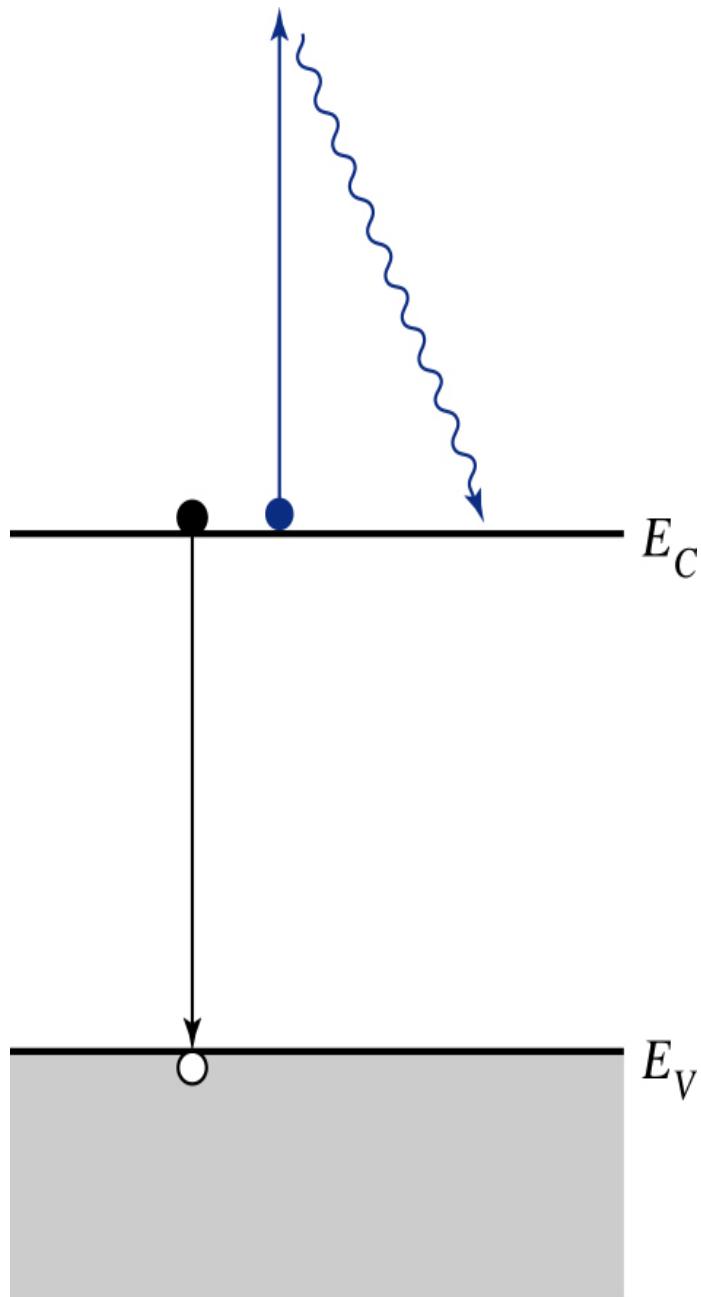


Fig. 16 Recombination lifetime versus gold impurity concentration in silicon.<sup>8</sup>

★  $Sr \gg Sg$   
(80) (0.1)  
 $(\tau_g > \tau_r)$



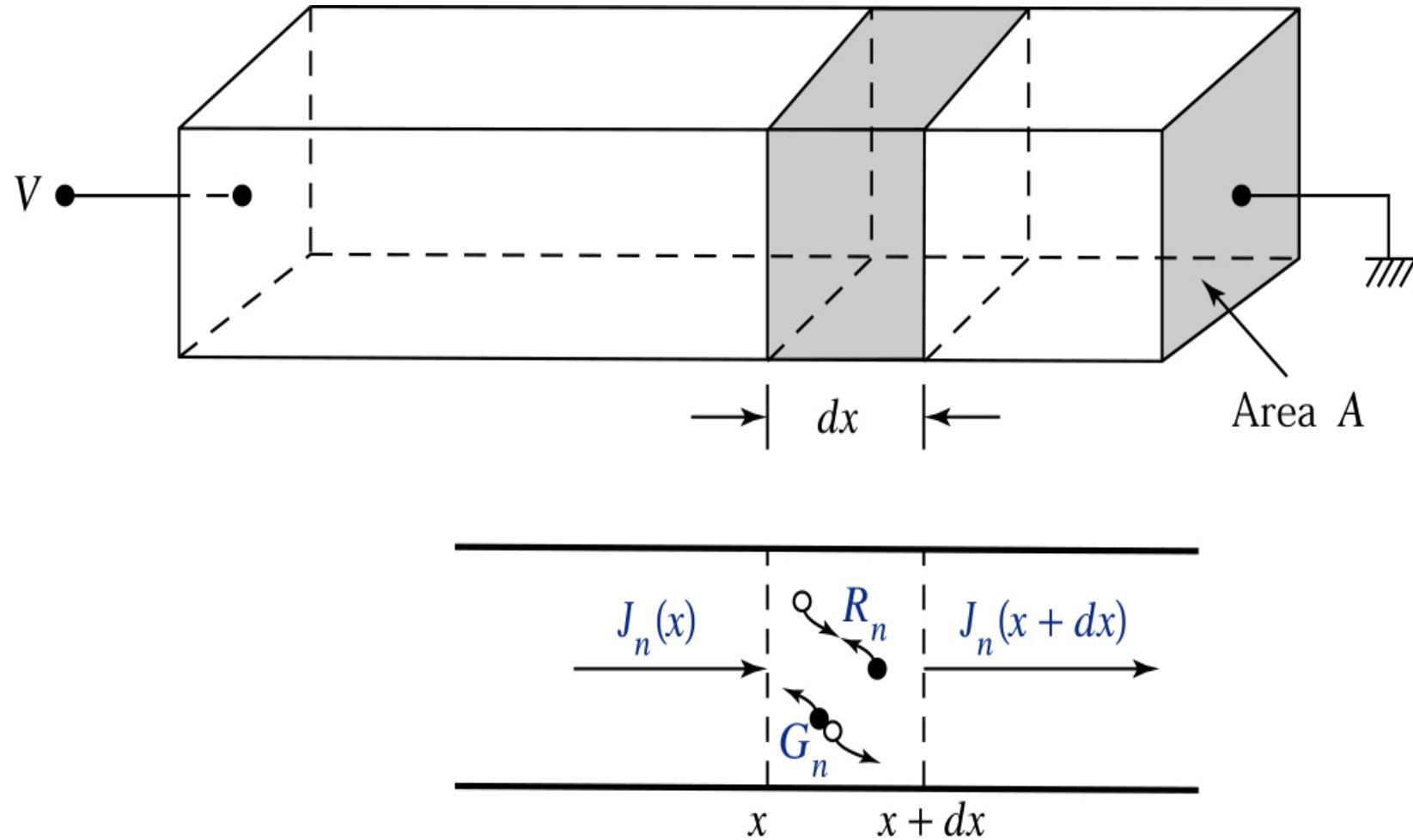
**Figure 2.14** Schematic diagram of bonds at a clean semiconductor surface. The bonds are anisotropic and differ from those in the bulk.<sup>5</sup>



## Auger recombination.

Def: the transfer of energy and momentum released by e-h recomb to a third e/h

When carrier concentration is very high, Auger recomb is important.



**Figure 2.15.** Current flow and generation-recombination processes in an infinitesimal slice of thickness  $dx$ .

drift + diff. + Recom. 均同時發生  $\Rightarrow$

$$\frac{\partial n}{\partial t} = \frac{\partial J_n}{q\partial x} + (G_n - R_n) \quad (56)$$

★ Continuity Eq. (一維)

由  
又

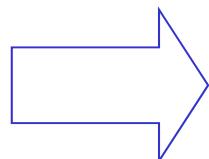
$$(1) \left\{ \begin{array}{l} \frac{\partial n_p}{\partial t} = \left[ n_p \mu_n \frac{\partial \varepsilon}{\partial x} + \mu_n \varepsilon \frac{\partial n_p}{\partial x} \right] + D_n \frac{\partial^2 n_p}{\partial x^2} + G_n - \frac{n_p - n_{po}}{\tau_n} \\ \frac{\partial p_n}{\partial t} = -p_n \mu_p \frac{\partial \varepsilon}{\partial x} - \mu_p \varepsilon \frac{\partial p_n}{\partial x} + D_p \frac{\partial^2 p_n}{\partial x^2} + G_p - \frac{p_n - p_{no}}{\tau_p} \end{array} \right. \quad (58)$$

$$J_n = q\mu_n n \varepsilon + qD_n \frac{dn}{dx} \quad \text{drift} \quad \text{diff}$$

\*少數carrier之注入

加上 Poisson's eq.

$$(2) \frac{d\varepsilon}{dx} = \frac{\rho_s}{\varepsilon_s} \quad (60)$$



可解出 inj. minority carrier distribution

\* $G \ll R \rightarrow G$  可忽略

(3) 及 Boundary Condition

**Figure 2.16.**

例: Steady-state carrier injection from one side. (a) Semiinfinite sample. (b) Sample with thickness  $W$ .

電流之梯度  
=Recomb. rate,  $R_p$

$$\frac{\partial p_n}{\partial t} = 0 = D_p \frac{\partial^2 p_n}{\partial x^2} - \frac{p_n - p_{no}}{\tau_p} \quad (61)$$

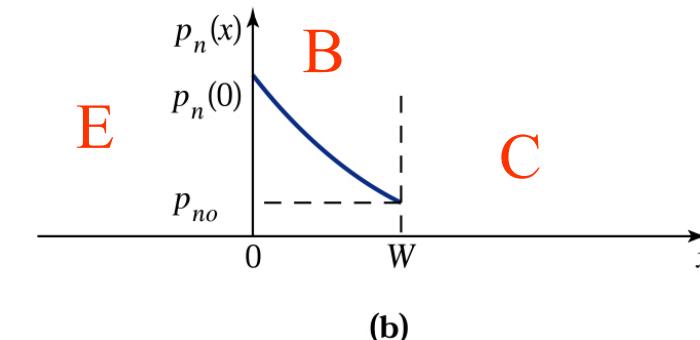
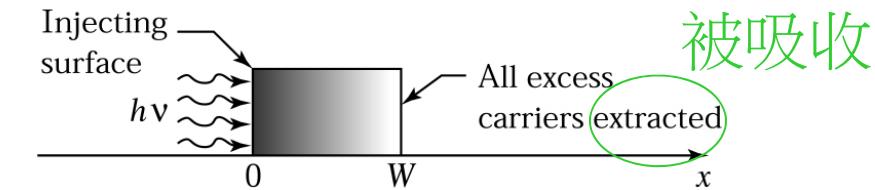
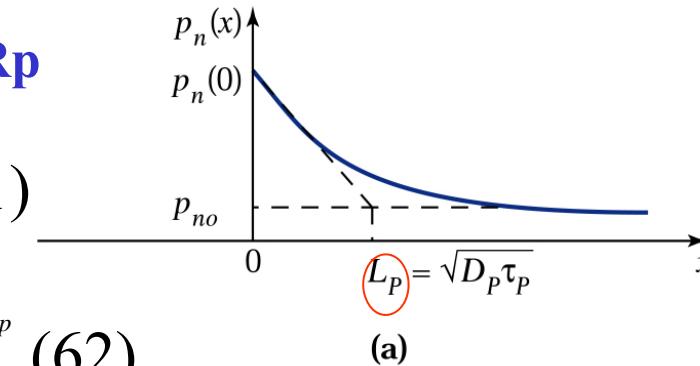
$$p_n(x) = p_{no} + [p_n(0) - p_{no}] e^{-x/L_p} \quad (62)$$

如B.C.  $p_n(0)=\text{const}$ ,  $p_n(\infty)=p_{no}$

$$L_p = \sqrt{D_p \tau_p}$$

$$*\tau_p \uparrow \Leftrightarrow \begin{cases} L_p \uparrow \\ R_p \downarrow \end{cases}$$

$\Rightarrow$  一致



$$\text{B.C. } \left\{ \begin{array}{l} P_n(0) \\ P_n(W) = p_{no} \end{array} \right.$$

→

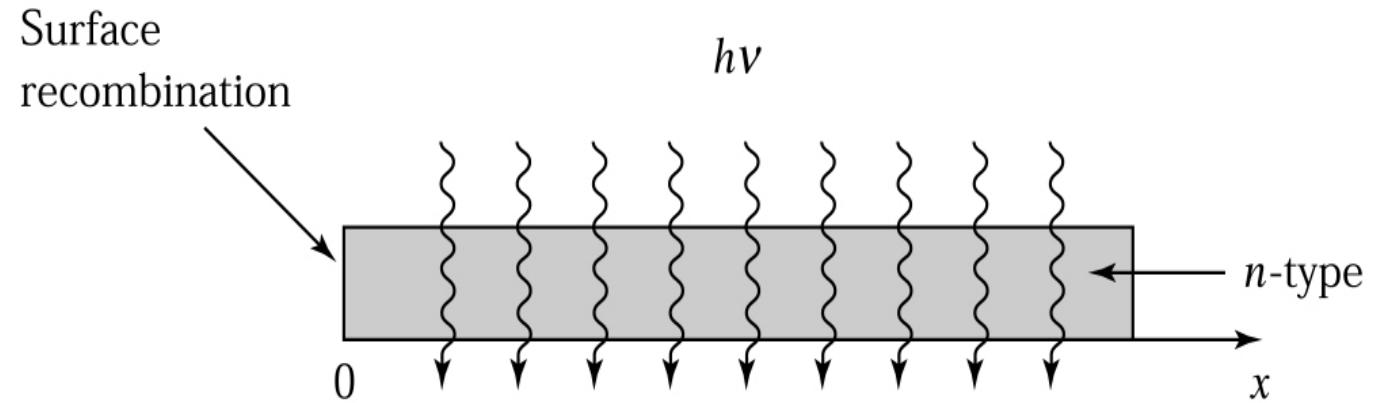
$$p_n(x) = p_{no} + [p_n(0) - p_{no}] \left[ \frac{\sinh \left[ \frac{W-x}{L_p} \right]}{\sinh(W/L_p)} \right] \quad (63)$$

又  $\varepsilon=0$

→

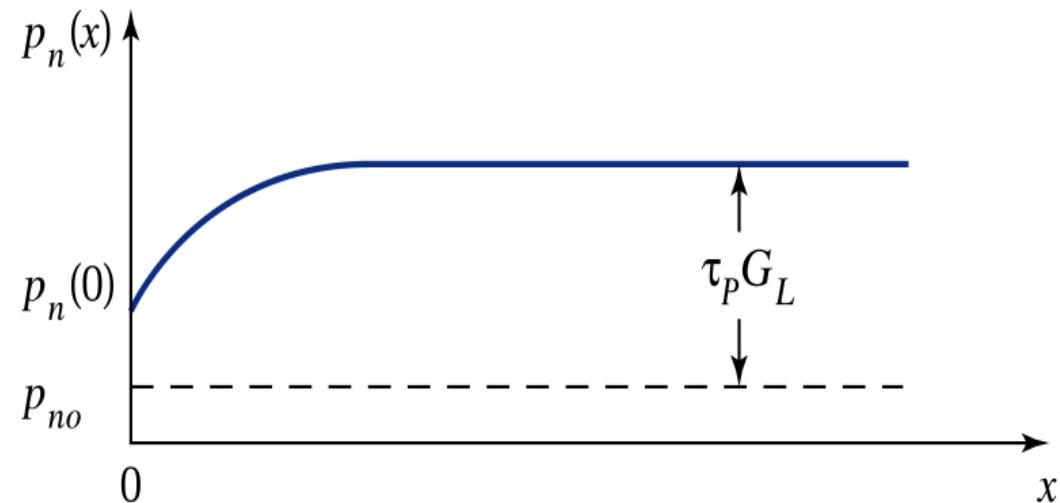
$$J_p = -qD_p \frac{\partial p_n}{\partial x} \Big|_W = q[p_n(0) - p_{no}] \frac{D_p}{L_p} \frac{1}{\sinh(W/L_p)} \quad (64)$$

\*BJT解J時會用到. (E inj.到B, 穿越B, 到C之J)

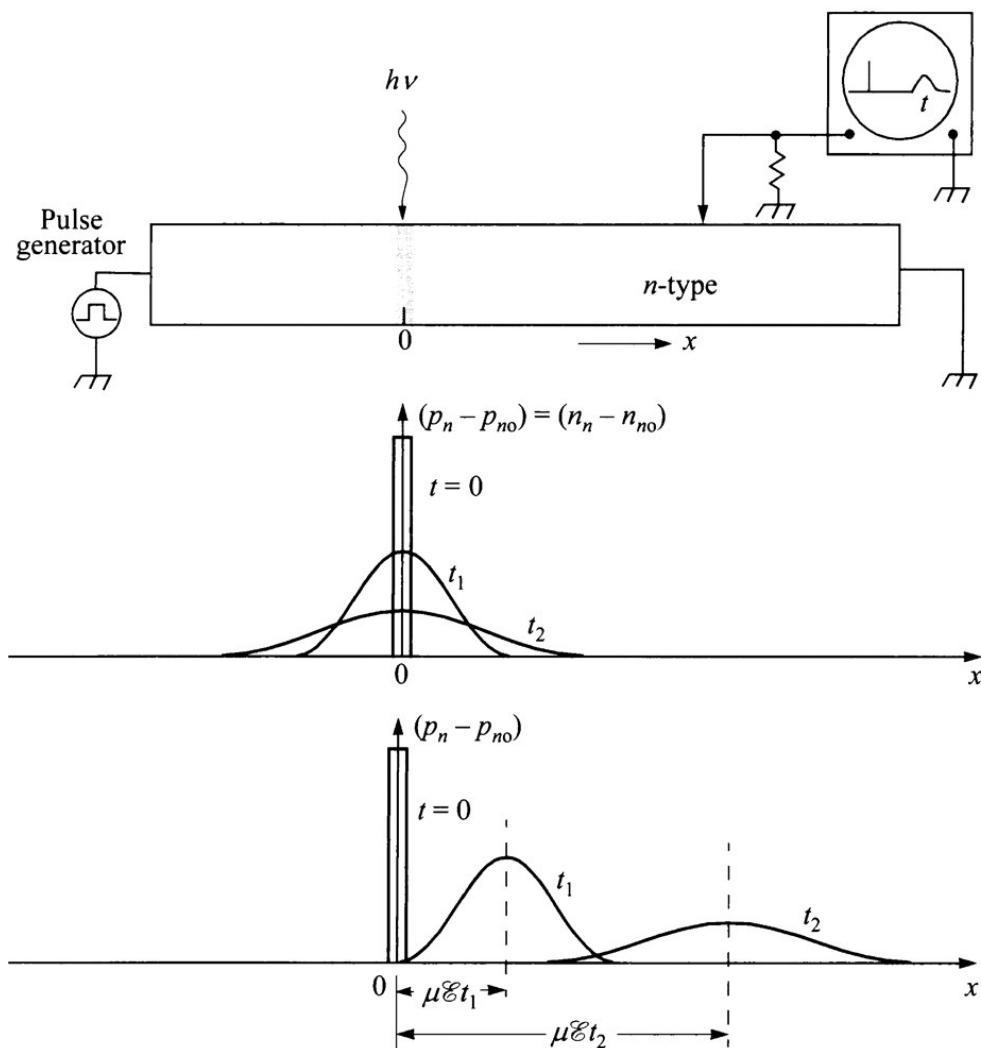


$$S_{lr} \rightarrow \infty$$

$$P_n(0) \rightarrow P_{n0}$$



**Figure 2.17.** Surface recombination at  $x = 0$ . The minority carrier distribution near the surface is affected by the surface recombination velocity.<sup>6</sup>



**Figure 2.18.**  
The Hayes-Shockley experiment.  
(a) Experimental setup. (b)  
(a) Carrier distributions **without** an  
applied field.  
(c) Carrier distributions **with** an  
applied field.<sup>7</sup>

(b)

(c)

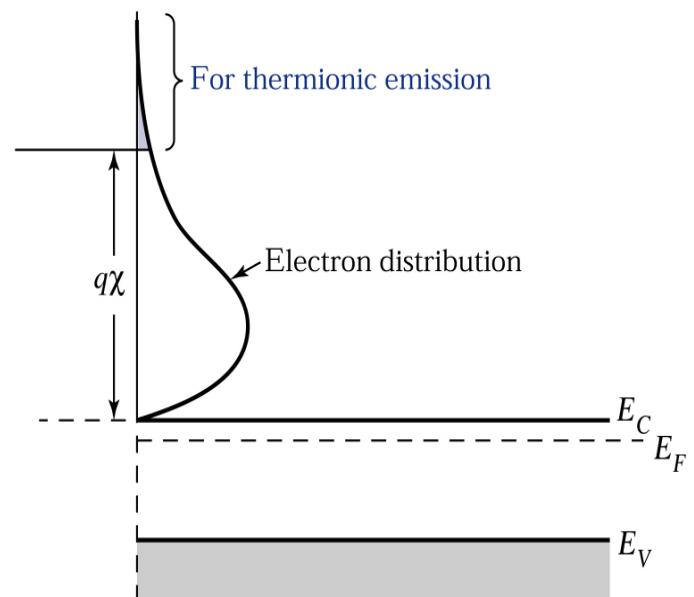
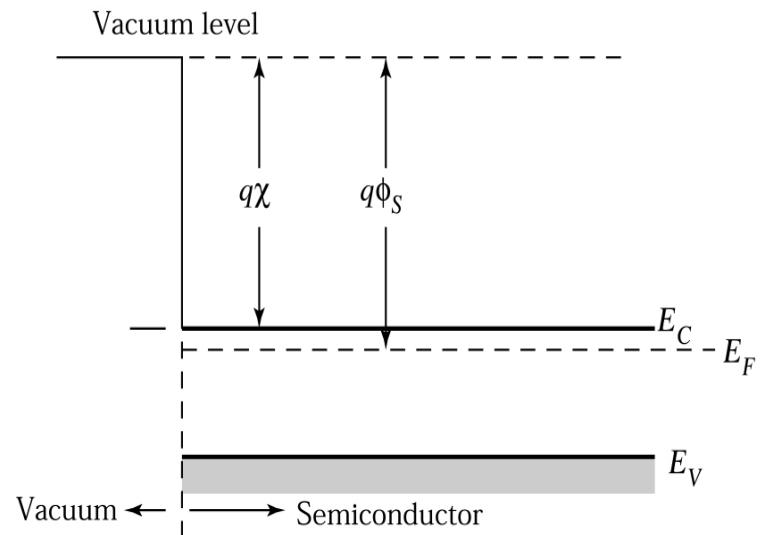
**Figure 2.19.**

(a) The band diagram of an isolated *n*-type semiconductor.

(b) The thermionic emission process.

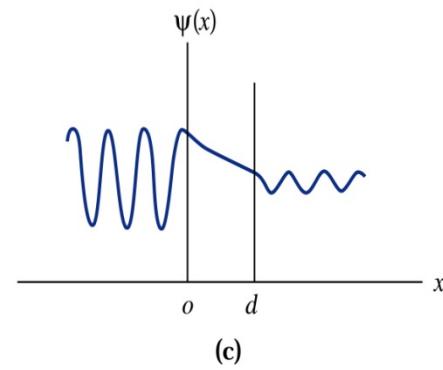
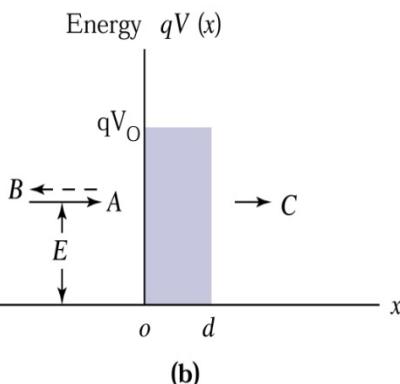
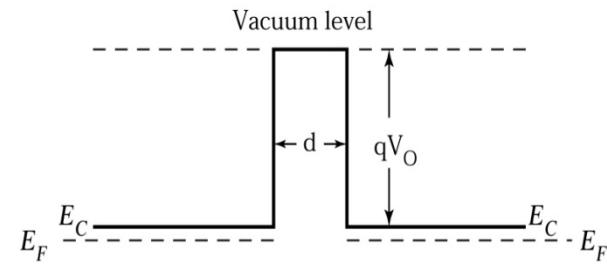
$$n_{th} = \int_{q\chi}^{\infty} n(E)dE = Nc \exp\left[-\frac{q(\chi + Vn)}{\kappa T}\right]$$

$$N = N_c \exp[-(E_C - E_F)/kT]$$

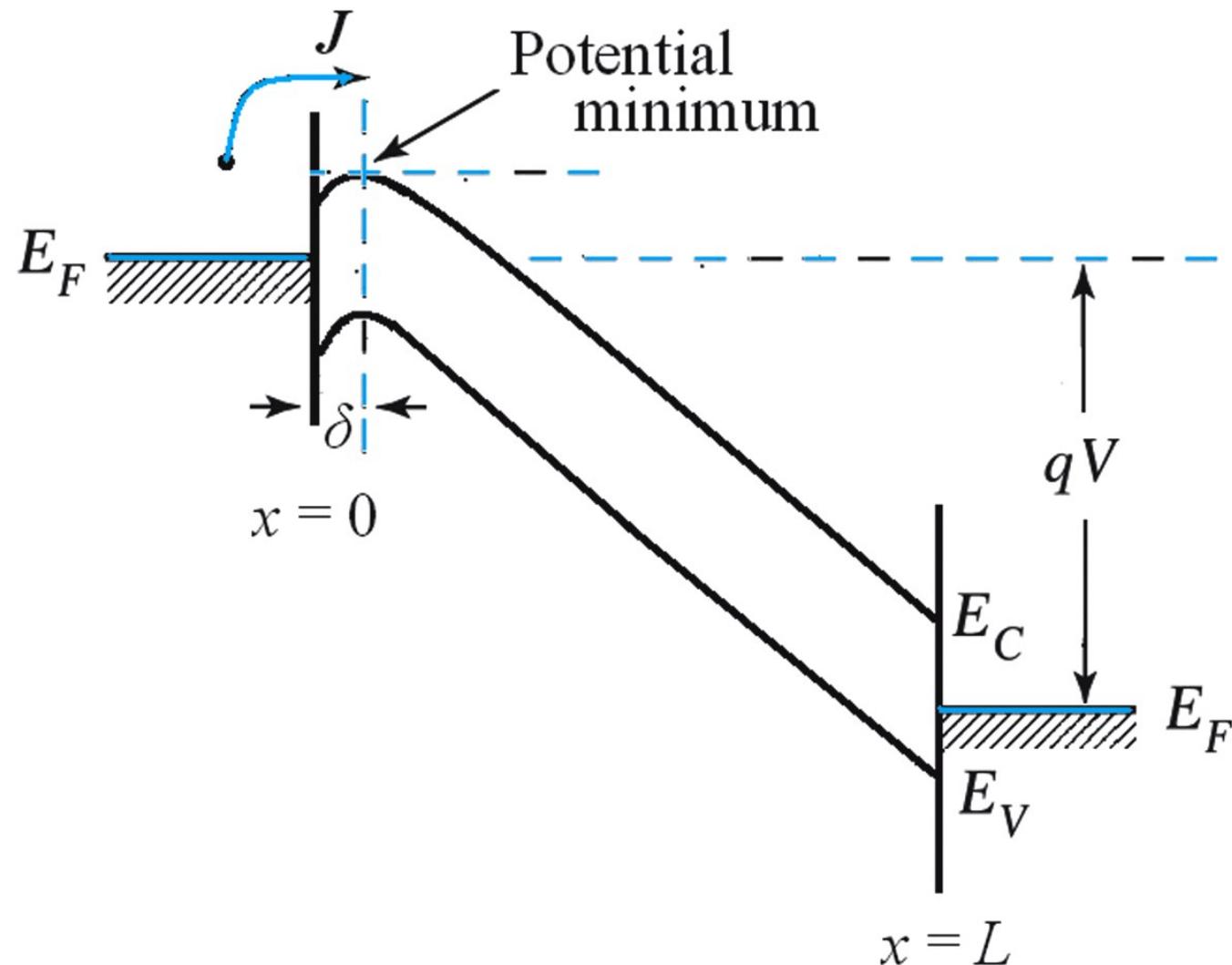


**Figure 2.20.**

(a) The band diagram of two isolated semiconductors with a distance  $d$ . (b) One-dimensional potential barrier. (c) Schematic representation of the wave function across the potential barrier.



$$\left[ \frac{C}{A} \right]^2 = \exp \left[ -2d \sqrt{2m_n(qV_0 - E)/\hbar^2} \right]$$



**Figure 2.21a**  
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Space charge effects, p.71, 72

$$J \left/ \left( \frac{9\varepsilon_s \mu}{8L^3} \right) \right.$$

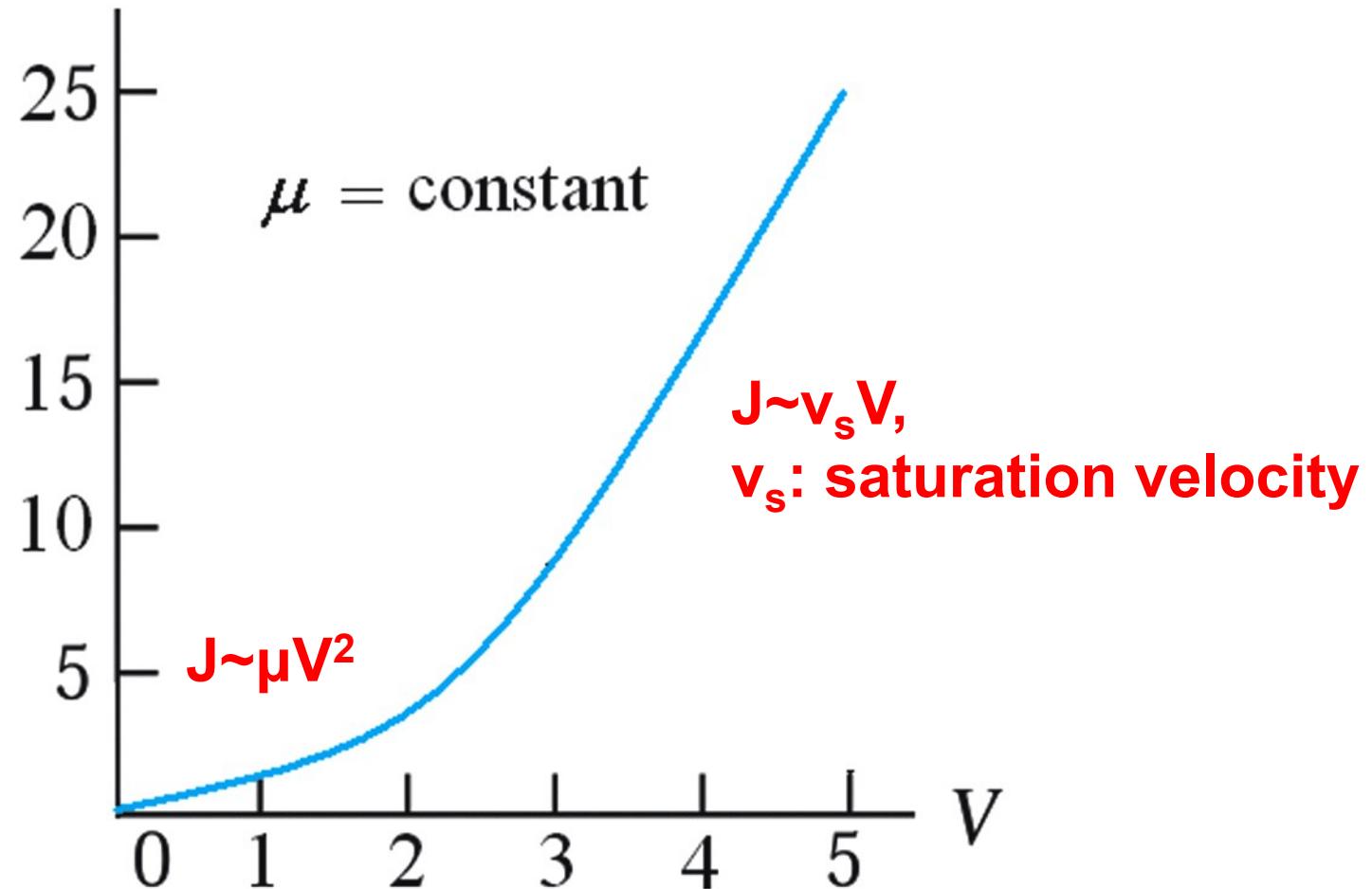


Figure 2.21b  
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● 低電場,  $v$  正比  $\varepsilon$ , 即  $\mu$  定值

$$\varepsilon \uparrow \rightarrow \mu \downarrow$$

● 高電場,  $v_{\text{drift}} = \text{定值}$ , 飽和了

★ 當  $v_{\text{drift}} \rightarrow v_{\text{th}}$  時,  
碰撞時間  $\tau_c \downarrow$   
 $(\mu_n \equiv q\tau_c/m_n)$

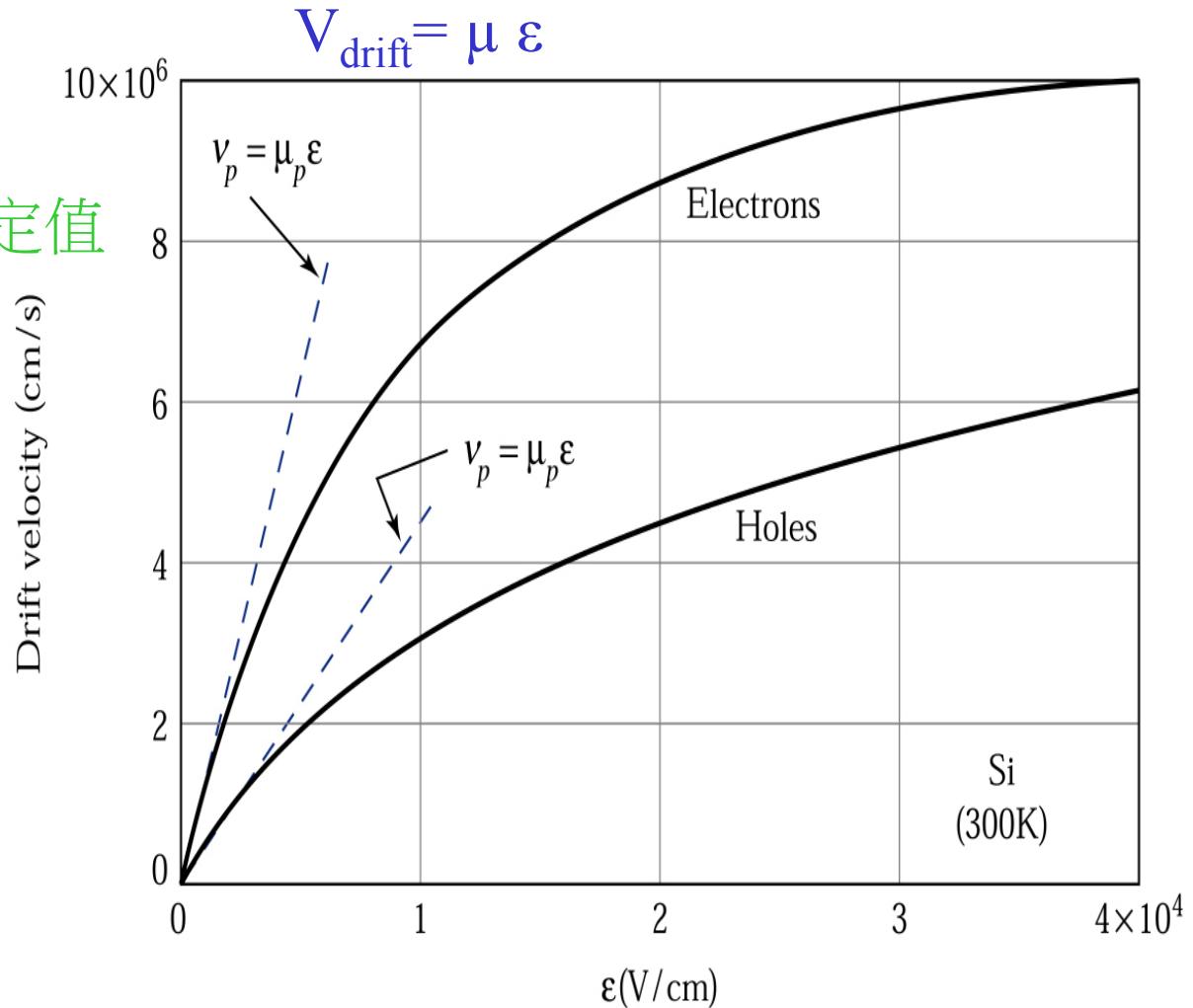
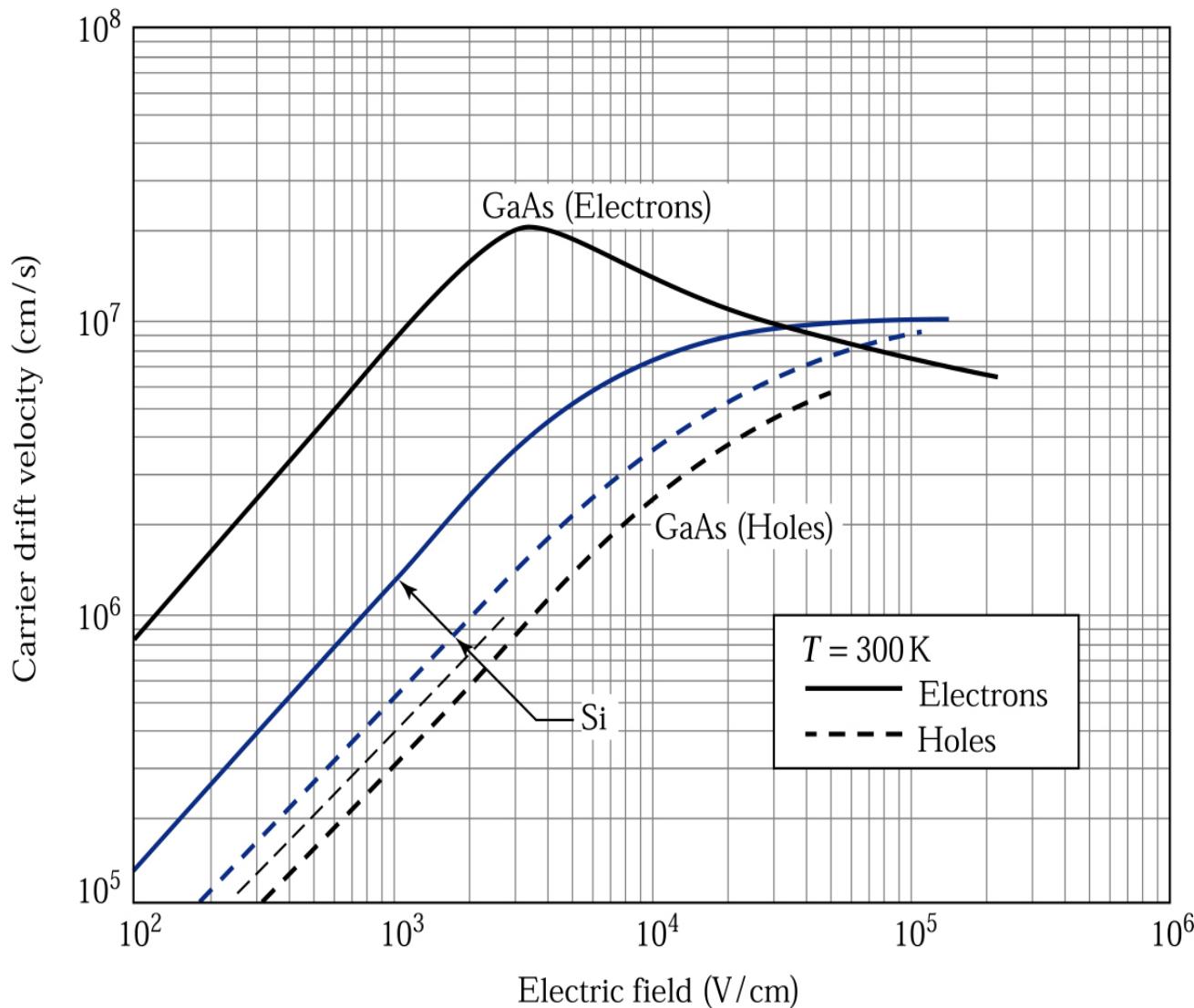
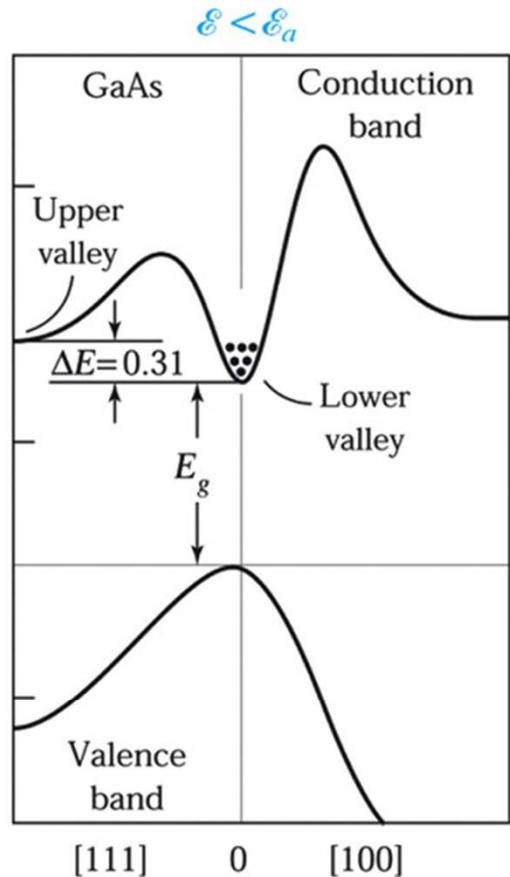


Figure 2.22. Drift velocity versus electric field in Si.<sup>8</sup>

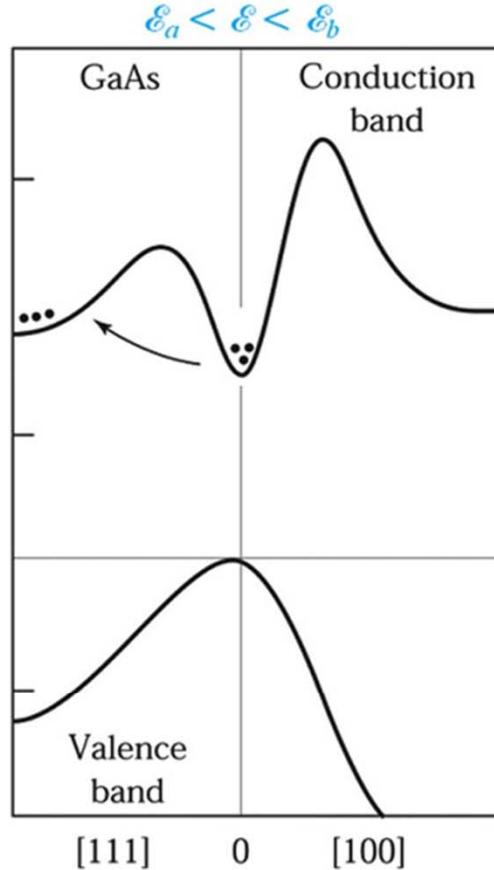


**Figure 2.23.** Drift velocity versus electric field in Si and GaAs. Note that for **n-type GaAs, there is a region of negative differential mobility.**<sup>8,9</sup>

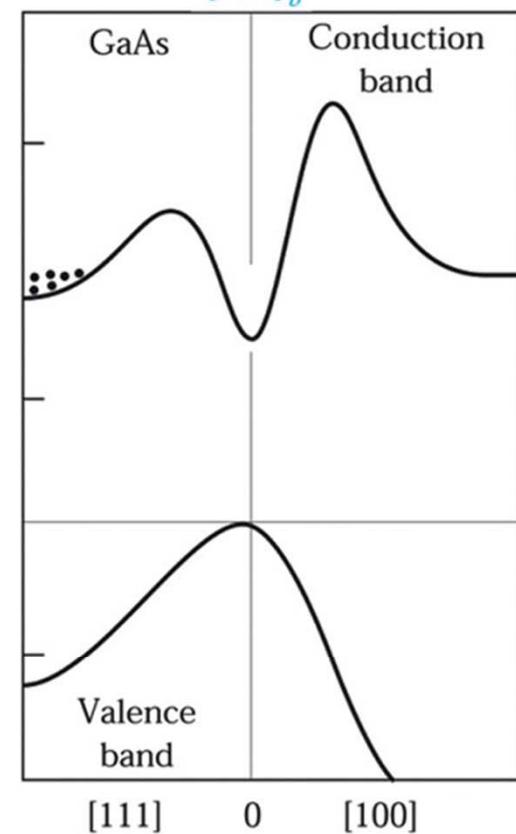
$$\varepsilon_b < \varepsilon$$



(a)



(b)

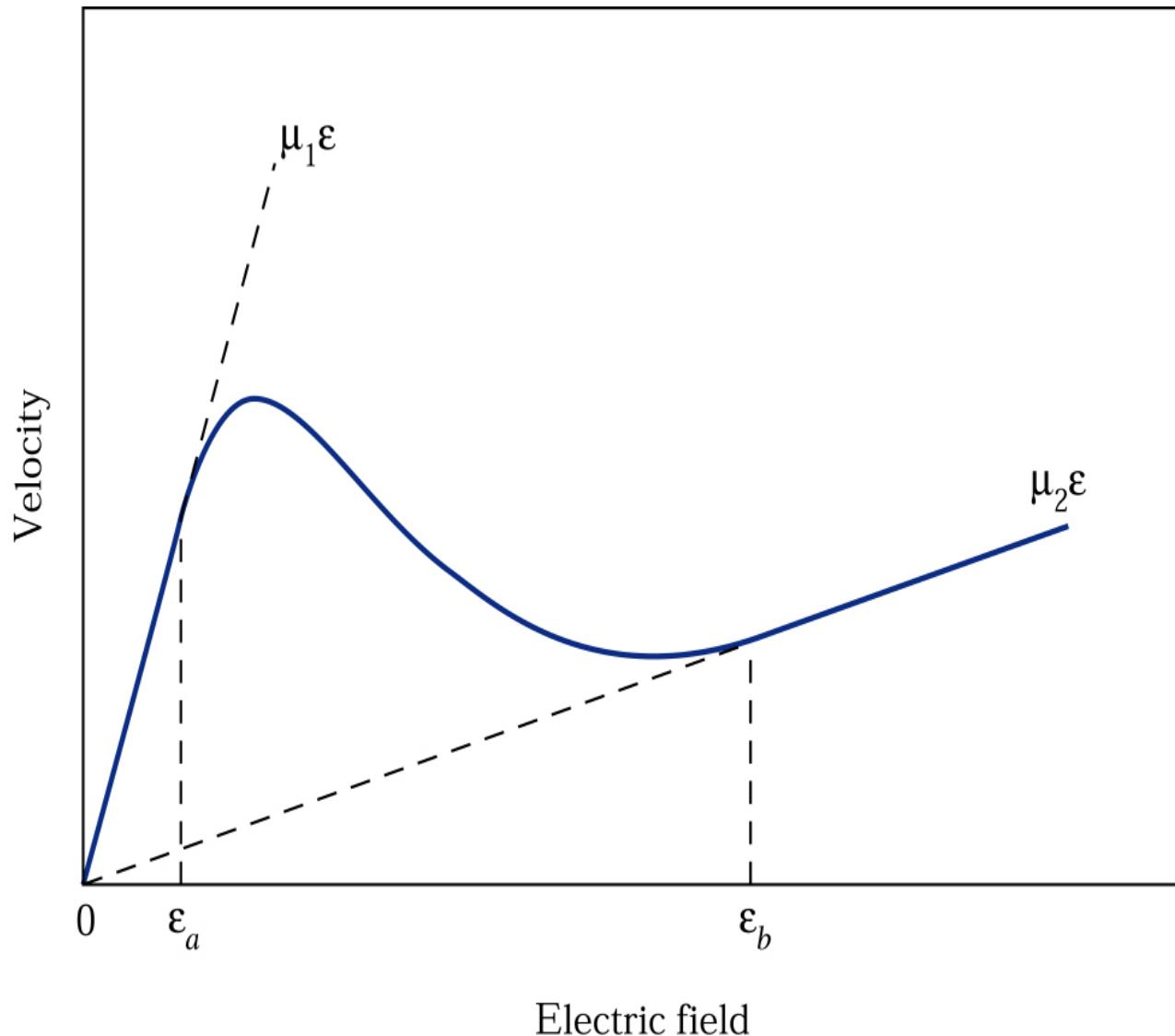


(c)

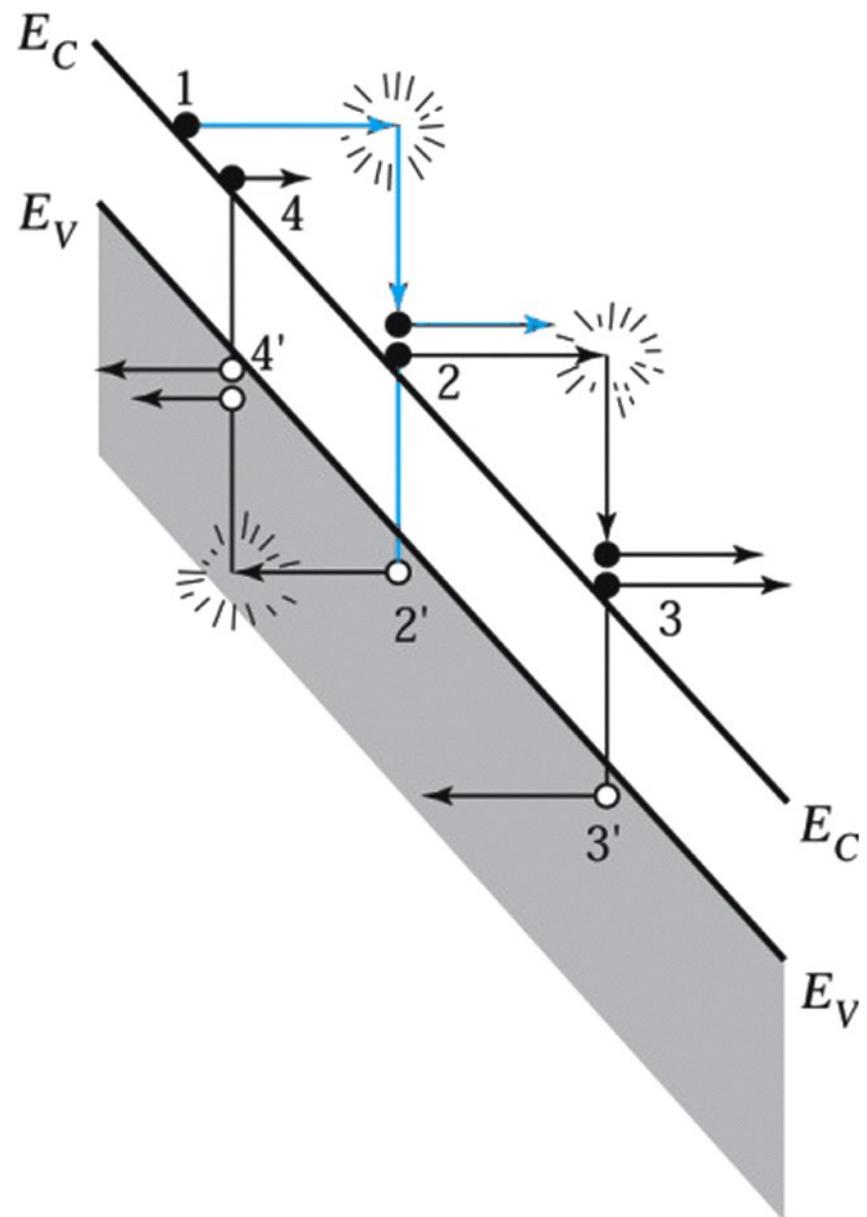
Figure 2.24

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**Figure 2.24.** Electron distributions under various conditions of electric fields for a two-valley semiconductor.



**Figure 2.25.** One possible velocity-field characteristic of a two-valley semiconductor.



**Figure 2.26.**  
Energy band diagram for  
the avalanche process.

**Figure 2.27.**  
Measured ionization rates  
versus reciprocal field for Si  
and GaAs.<sup>9</sup>

$\alpha$ : ionization rate

$$G_A = \frac{1}{q} (\alpha_n |J_n| + \alpha_p |J_p|)$$

$G_A$ =e-h pair generation rate

